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Understanding the nature of surface nitrates in BaO/γ -Al₂O₃ NO_x storage materials: A combined experimental and theoretical study

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ABSTRACT

A combined experimental-theory approach was applied to unambiguously determine the nature of "surface nitrates" in BaO/ γ -Al₂O₃ NO_x storage materials. High resolution scanning transmission electron microscopy images reveal that at a low BaO coverage of 2 wt% on γ -Al₂O₃ monomeric BaO units are present almost exclusively. These molecularly dispersed BaO units are concentrated on the (100) facets of the alumina crystallites, while other facets remain practically BaO-free The results of the density functional theory calculations predicted adsorption geometries for the (BaO)_x (x = 1 and 2) units. The energetically most favorable BaO monomer and dimer units anchor to pentacoordinate Al³⁺ sites on the (100) facets of γ -Al₂O₃ in such geometries that maximize their interactions with the support surface. The calculated vibrational frequencies of the energetically most favorable nitrate species formed upon the interaction of NO₂ with the monomeric and dimeric BaO units agree remarkably well with those observed experimentally by infrared spectroscopy and identified as "surface nitrates."

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1. Introduction

The special role of the interface between the active catalytic phase (metal or metal oxide) and the oxide support in determining the properties of practical catalysts has long been recognized [1]; however, it is still very poorly understood in most systems. The way the active phase is anchored onto the support surface may be especially important when the active phase is very highly dispersed. In such systems, the active oxide is likely anchored to some special sites of the support, and we may expect significant modifications in the chemical properties of these active centers by the underlying oxide support. Investigating the properties of these very highly dispersed active centers, however, is experimentally very difficult, and requires a concerted effort of both experimental and theoretical methods.

In recent years, BaO supported on γ -Al₂O₃ has attracted significant attention due to its potential application as a NO_x storage material used to treat exhaust emissions of internal combustion engines operating under lean (oxygen rich) conditions [2]. Numerous studies (both experimental and theoretical) have focused on the elucidation of the interaction of NO₂ with BaO, and the identi-

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fication and characterization of NO_x species (nitrites and nitrates) formed on the BaO storage material and the γ -Al₂O₃ support as well. Density functional theory (DFT) calculations of both Schneider et al. [3-6] and Broqvist et al. [7] pointed to a pairwise, cooperative adsorption mechanism of NO₂ on BaO, resulting in the initial formation of nitrite-nitrate ion pairs. This initial cooperative NO₂ adsorption mechanism on pure BaO has recently been substantiated in an experimental study on model NO_x storage materials [8]. Very recently, we have reported the importance of a strong interaction between the BaO storage and alumina support materials in determining the adsorption mechanism of NO₂ [9]. Furthermore, the existence of two different types of nitrate species on BaO/ γ -Al₂O₃-based NO_x storage/reduction systems has been evident in TPD, FTIR and ¹⁵N solid state NMR studies [10]. Based on the results of these spectroscopic characterizations, we concluded that "surface" and "bulk" Ba-nitrate species formed when $BaO/\gamma - Al_2O_3$ NO_x storage materials were saturated with NO₂.

The nature of the bulk Ba(NO₃)₂ species on the γ -Al₂O₃ support is reasonably well understood, and its spectroscopic properties (IR vibrational frequencies of the nitrates) have been accurately predicted by DFT calculations [11,12]. On the other hand, no clear understanding has been developed on the nature of the so-called "surface nitrates."

In this contribution, we report the results of a combined experimental and DFT investigation in which we set out to understand the role of the interaction between BaO and γ -Al₂O₃ in the NO₂

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uptake process at low BaO loadings, and the characteristics of the "surface" nitrate species thus formed.

2. Experimental

The BaO/ γ -Al₂O₃ NO_x storage materials with varying BaO loadings were prepared by traditional incipient wetness methods using a Ba(NO₃)₂ precursor, and a 200 m²/g γ -Al₂O₃ support material from Condea. After drying the materials in air at 373 K, they were activated by calcination of 773 K in flowing dry air. The details of the IR measurements have been described in detail previously [10]. The HR-STEM images were obtained at the High Temperature Materials Laboratory located at Oak Ridge National Laboratory, using a JEOL 2200 FEF aberration corrected electron microscope.

We performed periodic DFT calculations using the Vienna ab initio simulation package (VASP) [13-16]. The projector augmented wave (PAW) method combined with a plane-wave basis and cutoff energy of 400 eV was used to describe core and valence electrons [17,18]. The Perdew-Burke-Ernzerhof (PBE) form of generalized gradient approximation (GGA) [19] was used in the calculations. The ground-state atomic geometries of $NO_x/BaO/\gamma - Al_2O_3$ systems were obtained by minimizing the forces on each atom to below 0.05 eV/Å. Spin-polarization was implemented in all calculations. The γ -Al₂O₃ bulk structure used in this work was taken from Digne et al.'s model [20]. The calculated lattice parameters of bulk γ -Al₂O₃ were a = 5.58 Å, b = 8.41 Å, c = 8.07 Å and $\beta = 90.53^{\circ}$. These values are in good agreement with previous calculations [20,21]. As indicated in a recent experimental study [22], the Al_{penta}^{3+} sites could be the most active surface sites for anchoring deposited transition metal and metal oxide particles. Consequently, we focused on the γ -Al₂O₃(100) surface in this work because the Al_{penta}^{3+} sites are only available on the γ -Al₂O₃(100) surface.

The γ -Al₂O₃(100) surface was modeled as a 2 × 1 supercell using four atomic layers (11.16 Å × 8.41 Å × 8.37 Å). As shown in Fig. 2, the γ -Al₂O₃(100)-2 × 1 surface model consists of eight units of Al₂O₃ with only Al³⁺_{penta} and tri-coordinated O²⁻ on the surface. The surface slab was symmetrical to avoid unphysical dipole-dipole interactions between the neighboring slabs. After testing different *k*-point grid schedules, a Monkhorst–Pack grid of size of 2 × 2 × 1 was used to sample the surface Brilliouin zone. The calculated surface energy is 960.6 mJ/m², which is consistent with previously reported values of 958 [21] and 970 [20] mJ/m².

Adsorption energies, $E_{(BaO)_n}^{ad}$, of BaO monomer or dimer on the γ -Al₂O₃(100) surface were calculated by Eq. (1)

$$E_{(\text{BaO})_n}^{\text{ad}} = E_{(\text{BaO})_n + \gamma - \text{Al}_2\text{O}_3(100)} - (E_{\gamma - \text{Al}_2\text{O}_3(100)} + E_{(\text{BaO})_n})$$
(1)

in which $E_{(BaO)_n+\gamma-Al_2O_3(100)}$ is the total energy of the interacting system of the γ -Al_2O_3(100) surface and $(BaO)_n$ (n = 1 or 2); $E_{\gamma-Al_2O_3(100)}$ is the total energy of the bare γ -Al_2O_3(100) surface; and $E_{(BaO)_n}$ is the energy of the isolated $(BaO)_n$ monomer or dimer in vacuum. Accordingly, the adsorption energies, $E_{NO_2+NO_3}^{ad}$, of coadsorbed NO₂ + NO₃ pair on the γ -Al_2O_3(100) supported BaO monomer and dimer were calculated by Eq. (2)

$$E_{\text{NO}_2+\text{NO}_3}^{\text{ad}} = E_{\text{NO}_2+\text{NO}_3+(\text{BaO})_n+\gamma-\text{Al}_2\text{O}_3} - (E_{(\text{BaO})_n+\gamma-\text{Al}_2\text{O}_3} + E_{\text{NO}_2} + E_{\text{NO}_3})$$
(2)

in which $E_{NO_2+NO_3+(BaO)_n+\gamma-Al_2O_3}$ is the total energy of co-adsorbed NO₂ + NO₃ pair on (BaO)_n over the γ -Al₂O₃(100) surface; E_{NO_2} and E_{NO_3} are the energies of the isolated NO₂ and NO₃ molecules in the vacuum. For comparison, we also calculated the NO₂ + NO₃ pair adsorbed on the BaO(001) surface. This model was used to represent the bulk nitrate. The BaO(001) surface slab consists of a 2 × 2 super cell with three atomic layers. The same PAW-GGA and *k*-point sampling schedule for NO_x/BaO/ γ -Al₂O₃ systems were

used in the calculations of NO_x/BaO(001) system. The calculated surface energy of BaO(001) is 0.35 J/m². This is in good agreement with previous DFT results of 0.35 J/m² [3] with different functionals. In the same way, the adsorption energies, $E_{NO_2+NO_3}^{ad}$, of co-adsorbed NO₂ + NO₃ pair on the BaO(001) surface was calculated as follows

$$E_{\text{NO}_2+\text{NO}_3}^{\text{ad}} = E_{\text{NO}_2+\text{NO}_3+\text{BaO}(001)} - (E_{\text{BaO}(001)} + E_{\text{NO}_2} + E_{\text{NO}_3})$$
(3)

in which $E_{NO_2+NO_3+BaO(001)}$ and $E_{BaO(001)}$ are the total energies of the NO₂ + NO₃ pair interacting with BaO(001) surface and the clean BaO(001) surface respectively. Based on above definitions, a negative $E_{(BaO)_n}^{ad}$ or $E_{NO_2+NO_3}^{ad}$ indicates a favorable (exothermic) adsorption.

3. Results and discussion

Fig. 1 shows a high resolution STEM image of a 2 wt% BaO/ γ -Al₂O₃ sample. The image clearly shows that single BaO molecules (bright spots) are dispersed on the surface of γ -Al₂O₃. This high resolution TEM image allows us to differentiate regions on the γ -Al₂O₃ support that have significantly different BaO populations. In region A no BaO units are seen, in accord with the prediction of DFT calculations [20,21] that suggests the complete absence of pentacoordinate AI^{3+} (AI^{3+}_{penta}) sites on other facets that would serve as anchoring points for BaO. In regions B and C of the TEM image the BaO units are fairly uniformly dispersed, and as line sections 1 and 2 show they consist of single BaO units. The decoration of facet boundaries by BaO monomers seems to be also evident, for example in regions D of the HR-TEM image. These observations are all consistent with the results of our recent high resolution ²⁷Al solid state NMR study that showed the preferential anchoring of BaO monomers onto Al_{penta}^{3+} sites formed by the dehy-droxylation of the γ -Al₂O₃(100) surface [22]. We have also shown that, in order to saturate all the Al_{penta}^{3+} sites on the γ -Al₂O₃ surface (with specific surface area of ~200 m²/g) upon calcination at 773 K for 2 h, approximately 4 wt% BaO loading was required. This result suggests that at 2 wt% BaO loading about 50% of the Al_{penta}^{3+} sites are occupied by BaO monomers. When the BaO loading is increased to 8 wt%, the number of BaO molecules is twice that of the Al_{penta}^{3+} sites. Therefore, if we assume an even distribution of BaO on the γ -Al₂O₃ support surface, and that BaO only anchored to the γ -Al₂O₃ surface through Al³⁺_{penta} sites, we may expect to observe two BaO molecules occupying each Al³⁺_{penta} site. And, indeed, $(BaO)_2$ dimers dispersed on the γ -Al₂O₃ surface are the primary Ba-containing phase in the 8 wt% $BaO/\gamma - Al_2O_3$ sample, however, $(BaO)_x$ units with x > 2 present as well (TEM image not shown).

In order to characterize these $(BaO)_x$ ($x \le 2$) units anchored to the Al_{penta}^{3+} sites on the γ - Al_2O_3 surface, and then their interactions with NO₂, we performed DFT calculations on these systems. As a model for the support material we have chosen a (2×1) unit cell of the γ -Al₂O₃(100) surface. Previous DFT calculations [23] have shown that this surface was completely dehydroxylated at 600 K; therefore, the effect of OH groups on the anchoring of $(BaO)_x$ units as well as on the NO₂ chemistry was not considered here. Fig. 2a shows the most stable structure of a BaO monomer anchored to a Al_{penta}^{3+} site on the γ -Al₂O₃(100) surface with an adsorption energy of -4.08 eV. The energetically most favorable configuration of the (BaO)₂ dimer on the dehydrated γ -Al₂O₃(100) surface is displayed in Fig. 2b. The adsorption energy of this (BaO)₂ dimer is -3.82 eV, slightly lower than that found for the BaO monomer. These model calculations show that both the BaO monomer and the (BaO)₂ dimer assume configurations on the γ -Al₂O₃(100) surface that maximize their interactions with the support material; i.e. the Ba-O bond is almost parallel with the support surface.



Fig. 1. HR-STEM image of a 2 wt% BaO/ γ -Al₂O₃ sample. Normalized intensities across BaO monomers at two different regions of the image are also shown (1 and 2).



Fig. 2. Structures of a BaO monomer (a) and dimer (b) on a dehydroxylated γ -Al₂O₃(100) surface (green: Ba; dark red: O in BaO; magenta: Al³⁺_{penta} and red: surface O atom on the γ -Al₂O₃(100) surface; bulk alumina indicated by the light lines.

These geometries allow the O²⁻ ion of BaO to interact with the coordinatively unsaturated Al³⁺_{penta} ion, while the Ba²⁺ ion binds with surface oxygens of the γ -Al₂O₃ support. An analysis of Bader charge distributions [24,25] on the adsorbed (BaO)_x (x = 1 or 2) species indicates that the Bader charges of the Ba²⁺ and O²⁻ ions on the alumina surface are almost the same as the corresponding charges of Ba and O ions in bulk BaO and on the BaO(001) surface. The adsorption of these BaO units on the fully dehydrated γ -Al₂O₃(100) surface, however, results in significant surface and adsorbate relaxations, reflected by the large changes to metal ion-oxygen ion distances for both the adsorbed BaO and the alumina surface. For example, the Ba–O bond length increased from 2.05 Å in the free BaO monomer to 2.37 Å in the adsorbed BaO monomer; similar changes were seen in the (BaO)₂ dimer as well. The surface deformation energy (i.e. the surface energy difference between the

clean and the $(BaO)_x$ -modified γ -Al₂O₃(100) surfaces) for the energetically most favorable adsorption complexes were calculated to be 3.20 eV for the γ -Al₂O₃(100) surface accommodating BaO monomers, and 5.33 eV for the one with $(BaO)_2$ dimer. These changes in the surface energy further indicate adsorbate-induced rearrangements on the γ -Al₂O₃(100) surface that are materialized in the elongation of the Al–O bonds participating in the formation of the adsorption complexes (see Table 1).

Now that we have clarified the structures of $(BaO)_x$ (x = 1 or 2) units that form on the γ -Al₂O₃(100) surface at low BaO coverages, we will focus on the interactions of BaO monomers and dimers with NO₂, and the characterization of the NO_x species formed. Although, in practical NO_x storage systems the BaO loading is usually at or above 20 wt%, here we concentrate on BaO/ γ -Al₂O₃ materials with low BaO loadings in order to avoid the formation of large

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The adsorption energies (eV/BaO) and structural parameters (Å) of BaO monomer and dimer on the γ -Al₂O₃(100) surface.

Adsorbate	Adsorption energy	Bond length				
		d(Ba-O _{surf})	$d(O-Al_{surf})$	d(Ba-O)		
BaO (BaO) ₂	-4.08 -3.82	2.66; 2.77 2.59; 2.66	1.74 1.79; 1.85	2.37 2.33; 2.41; 2.42; 2.76		

BaO particles that would lead to the formation of bulk $Ba(NO_3)_2$ upon NO₂ exposure.

Six nitrate models formed by NO₂ interacting with $(BaO)_x/$ γ -Al₂O₃ and BaO(001) surfaces were examined. The energetics and structural parameters are given in Table 2. The optimized structures of nitrates on a BaO monomer over the γ -Al₂O₃(100) surface are shown in Fig. 3a. In this configuration (model I in Table 3), NO₃ is bonded to the Ba ion in a bidentate configuration and NO_2 is bonded to an O ion via the N-atom. The calculated vibrational frequency for the asymmetric stretch of NO₂-O_{BaO} is 1600 cm⁻¹, while the in-plane bending mode for the same species is at 1275 cm⁻¹. The frequencies of these modes for the NO_3^- -Ba species are predicted at 1545 and 1207 cm⁻¹, respectively. The higher frequency bands of these nitrate vibrations agree well with those measured in FTIR experiments (see below); i.e. between 1550 and 1600 cm⁻¹. However, the calculated lower frequency bands are at somewhat lower wavenumbers than those determined experimentally.

We have also calculated the frequencies of the various nitrate vibrations for model (II) illustrated in Fig. 3b, where the BaO monomer-bound nitrates are allowed to interact with the alumina surface (oxygen atoms in the nitrate ion interact with neighboring Al_{penta}^{3+} sites). In fact, our calculations show that this configuration of the nitrate species on the BaO monomer (Fig. 3b) is energetically more stable by 0.46 eV. The calculated vibrational

frequencies of these Ba-bound nitrates are somewhat higher than those ones where the alumina-nitrate interaction was not considered. The asymmetric NO_3^- -Ba vibrational stretch modes for this structure are calculated at 1574 and 1237 cm⁻¹, the asymmetric NO_2-O_{BaO} mode at 1565 cm⁻¹ and the in-plane bending mode of NO_2-O_{BaO} at 1232 cm⁻¹. The higher frequency vibrations match perfectly the ones determined by experiments, but the lower frequency ones are still somewhat lower.

We also examined the nitrate structures formed by NO_x adsorption on the (BaO)₂ dimer-covered γ -Al₂O₃(100) surface. The calculated vibrational frequencies of the most stable structures (model III and IV) are listed in Table 3. Compared with the results for nitrate–BaO monomer complexes, we notice that the higher vibrational frequencies of nitrate–(BaO)₂ are redshifted. On the other hand, the two lower frequencies are blueshifted at 1302 and 1256 cm⁻¹ for the model IV configuration, making them quite close to experimentally measured values. The model IV structure is found to be thermodynamically more stable than model III by 0.89 eV.

In order to validate our vibrational frequency calculations, we also calculated the positions of nitrate vibrational features in a structure where nitrates were formed on the (001) surface of bulk BaO. This allows us to directly compare our DFT predictions with those of both previous theoretical calculations [11,12] and experiments [10,11,26–32]. We calculated the nitrate vibrational frequencies for two models (V and VI): the one proposed by Grönbeck et al. [7], and the other used by Schneider et al. [3]. The results of these calculations, together with those obtained for the BaO monomer- and dimer-bound nitrates on the γ -Al₂O₃(100) surface (discussed above), are summarized in Table 3. The predicted frequencies of nitrite–nitrate pair on the BaO(001) surface from our calculations are very close to those calculated by Broqvist et al. [11] with a (BaO)₉ cluster model representing BaO(001) surface, and consistent with the experimental results measured for

Table 2

The adsorption energies (eV) and structural parameters (Å) of the $NO_2 + NO_3$ pair on different surfaces.

Model	Surface	Adsorption	Structural parameters					
		energy	$d(NO_2 - O_{BaO})$	$d(NO_3-Ba)$	$d(NO_2 - O_{surf})$	$d(NO_3-Al_{surf})$	$d(NO_2 - Al_{surf})$	
I	$BaO/\gamma - Al_2O_3(100)$	-3.67	1.37	2.62, 2.64				
II	$BaO/\gamma - Al_2O_3(100)$	-4.13	1.27	2.67, 2.75	2.05	2.10		
III	$(BaO)_2/\gamma - Al_2O_3(100)$	-4.75	1.30	2.62, 2.66			2.08	
IV	$(BaO)_2/\gamma - Al_2O_3(100)$	-5.64	1.27	2.89, 3.09			2.05	
			$d(NO_2 - O_{surf})$	$d(NO_3 - O_{surf})$	$d(NO_3-Ba_{surf})$			
v	BaO(001)	-4.24	1.33	2.80				
VI	BaO(001)	-3.81	1.33		2.71			

Table 3

Comparison of calculated vibrational frequencies of nitrates (bound to a BaO monomer, a BaO dimer, and to BaO(001) surface) with experimentally measured ones.

Mode of vibration	Model						Broqvist	Exp.
	I	II	III	IV	V	VI	et al. [11]	[10]
					••••	****		
Asym. NO ₂ –O _{BaO}	1600	1565	1544	1572	1450	1443	1448	Surface nitrate:
Asym. NO3–Ba	1545	1574	1506	1529	1409	1476	1460	1574-1620
In-plane bending	1275	1232	1206	1302	1240	1235	1228	1234-1320
NO ₂ -O _{BaO}								
In-plane bending	1207	1237	1249	1256	1318	1261	1278	
NO3-Ba								
Sym. NO ₃ –Ba	1010	971	1044	1017	1016	1047		Bulk nitrate:
Sym. NO ₂ –O _{BaO}	902	981	1014	1033	912	898		1420-1480



Fig. 3. Optimized structures of Ba(NO₃)₂ monomers on the γ -Al₂O₃(100) surface: (a) nitrates did not interact with the γ -Al₂O₃(100) surface; and (b) γ -Al₂O₃(100) surface mediated (blue: N; gold: O in NO_x; green: Ba; dark red: O in BaO; magenta: Al³⁺_{penta}; and red: O atoms on the γ -Al₂O₃(100) surface).



Fig. 4. FTIR spectrum obtained from an 8 wt% BaO/γ -Al₂O₃ sample after NO₂ saturation at 300 K. The calculated IR vibrational frequencies for the nitrate species formed are displayed as well.

bulk Ba(NO₃)₂ species on alumina support materials [10]. An IR spectrum recorded from an 8 wt% BaO/ γ -Al₂O₃ after saturation with NO₂ at 300 K (both surface and bulk nitrates are present) is shown in Fig. 4, together with the vibrational frequency ranges our calculation predicted for nitrates bound to (BaO)_x on the γ -Al₂O₃ (red columns) and BaO(100) (blue columns). The agreement between the experimentally measured frequencies and DFT predictions for both "surface" and "bulk" BaO-bound nitrates is excellent. This clearly indicates the existence of two different forms of nitrates on the alumina supported BaO NO_x storage material. (The calculated absolute frequency values for the nitrates associated with (BaO)_x ($x \leq 2$) may not match perfectly the exper-

imentally measured ones, however, they clearly show the fundamental differences between nitrates associated with bulk and surface $Ba(NO_3)_2$ species. The absolute frequency values of the surface nitrates are probably influenced by other factors than the $(BaO)_x$ cluster size and the interaction with the alumina support, like the presence and concentration of surface hydroxyl groups.)

All previous theoretical calculations reported to date have focused on the reaction of NO_x (mostly NO_2) with pure, unsupported alkaline earth oxides (especially the (100) surface of crystalline BaO, and $(BaO)_x$ ($x \le 12$) clusters as models of bulk BaO) [3–7,11]. As we have mentioned above, these calculations provided valuable insight into the NO_x uptake mechanism on pure BaO and predicted, with good accuracy, the vibrational spectroscopic properties of the nitrate species thus formed. However, they ignored the possible role of the interaction between BaO and γ -Al₂O₃ on the NO₂ uptake mechanism, and the properties of the nitrates that formed on supported BaO. The results of our surface science studies on model NO_x storage materials (BaO/Al₂O₃/NiAl(110)) [8,9] have clearly demonstrated that very different NO₂ uptake mechanisms operate on pure BaO (high BaO loading), and at the BaO/Al₂O₃ interface (low BaO loading). These preceding studies also confirmed the importance of the strong interaction between BaO and Al₂O₃ on both the NO₂ uptake mechanism, and the characteristics of the NO_x species formed. The results of our current study, in conjunction with our prior work on both model and supported BaO/ γ -Al₂O₃ NO_x storage systems, allows the unambiguous identification of the different nitrate species that we earlier identified as "surface" and "bulk" nitrates. Bulk nitrates can be envisioned as nitrates formed on the surfaces and in the bulk of BaO particles, while surface nitrates are the ones that form on alumina-supported $(BaO)_x$ species (monomers, dimers, and/or possibly small clusters with somewhat higher *x* values) that are bound to Al_{penta}^{3+} sites. The properties of these very small $(BaO)_x$ units are fundamentally different from those of the bulk BaO particles. Their strong interaction with the alumina surface alters their chemical properties in their reaction with NO₂, thus altering their spectroscopic characteristics.

4. Conclusion

This combined experimental and theoretical study clearly illustrates the power of such a concerted effort to unravel the interactions of NO_x molecules with oxide (mixed oxide) surfaces. The results of these combined studies have allowed us to develop a clear understanding of the nature of both the surface and bulk types of nitrates on the BaO/ γ -Al₂O₃ NO_x storage system, a subject actively debated in the literature for some time. Surface nitrates are associated with (BaO)_x units where $x \leq 2$, while bulk nitrates represent those formed by the interaction of NO₂ with bulk BaO. The properties of surface Ba-nitrates are strongly influenced by their interactions with the alumina support. Furthermore, this study has implications for understanding the properties of alumina-supported metal and metal-oxide catalysts when the active phases are present at low loadings (i.e., high dispersions).

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